



# Solvothermal synthesis and tailored upconversion emission of monodisperse ultrasmall face-centered cubic $\text{Sr}_2\text{YF}_7$ nanocrystals

Mo Ma<sup>a,b</sup>, Chang-fu Xu<sup>a,b,\*</sup>, Li-wen Yang<sup>a,b</sup>, Qi-bin Yang<sup>a,b</sup>, Jian-guo Lin<sup>a,b</sup>

<sup>a</sup> Key Laboratory of Low Dimensional Materials & Application Technology of Ministry of Education, Xiangtan University, Xiangtan 411105, China

<sup>b</sup> Institute of Modern Physics, Faculty of Material & Photoelectronic Physics, Xiangtan University, Xiangtan 411105, China

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## ABSTRACT

Monodisperse  $\text{Sr}_2\text{YF}_7$  nanocrystals with the size of sub-10 nm were synthesized via a solvothermal method by using oleate as a capping ligand. X-ray diffraction and transmission electron microscopy assays reveal that the as-synthesized  $\text{Sr}_2\text{YF}_7$  nanocrystals are face-centered cubic structure with the cell parameter  $a = 5.704 \text{ \AA}$ . Intense upconversion luminescence can be observed in  $\text{Er}^{3+}/\text{Yb}^{3+}$  and  $\text{Tm}^{3+}/\text{Yb}^{3+}$  codoped  $\text{Sr}_2\text{YF}_7$  nanocrystals under the excitation of a 980 nm laser and near-white-color emission can be obtained in  $\text{Er}^{3+}/\text{Tm}^{3+}/\text{Yb}^{3+}$  tri-doped  $\text{Sr}_2\text{YF}_7$  nanocrystals. Energy transfer (ET) from  $\text{Yb}^{3+}$  to  $\text{Er}^{3+}$  and  $\text{Tm}^{3+}$  is mainly responsible to pump  $\text{Er}^{3+}$  and  $\text{Tm}^{3+}$  from the ground state to higher states. In  $\text{Er}^{3+}/\text{Tm}^{3+}/\text{Yb}^{3+}$  tri-doped  $\text{Sr}_2\text{YF}_7$  nanocrystals, ET from  $\text{Tm}^{3+}$  to  $\text{Er}^{3+}$  leads average three-photon process, which renders the 523 nm emission.

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## 1. Introduction

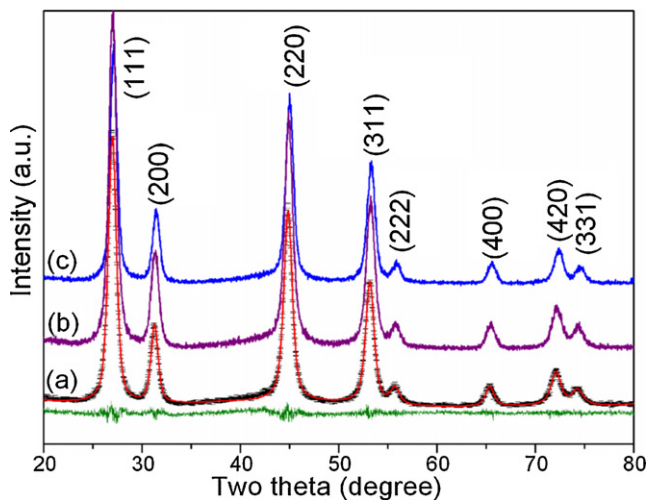
The advent of new techniques allowing for the facile synthesis of nanosized phosphors has triggered great interests in the research of novel ultrasmall monodisperse light-emitting nanomaterials for their real and potential applications in solid-state lasers, bioimaging and bioseparation, optical data storage and three-dimensional flat-panel display [1–6]. Especially in recent years, uniform and monodisperse upconversion (UC) rare earth (RE) nanocrystals with controllable shapes have attracted considerable devotion because of their applications in bioimaging and fluorescent labels [6–9]. Ultrasmall UC nanocrystals have the potential to substitute quantum dots as staining agents in subcellular level due to their excellent photo-stability, the absence of autofluorescence, and the deep penetration depth of infrared excitation [10–12]. Many series of RE compounds such as fluorides [13–15], oxyfluorides [16], hydroxides [17], vanadates [18], phosphates [19] and oxysulfides [20] reported previously as host nanomaterials, can exhibit highly efficient UC emission. However, just few kinds can keep high UC efficiency when their size is as small as sub-10 nm, so it is essential to search for new type of monodisperse ultrasmall nanocrystals with highly efficient UC emission. Among all of the UC host materials reported to date,  $\beta\text{-NaYF}_4$  is recognized as the highest efficient host material. Generally speaking, UC emission efficiency decreases drastically as the size of nanocrystals decreases

[21]. However, when the size of nanocrystals is reduced to sub-10 nm, something may be different. Lin et al. [22] had proved that the ultrasmall core/shell  $\text{BaGdF}_5$  nanocrystals with the size of sub-10 nm possessed higher efficiency of UC emission than  $\beta\text{-NaYF}_4$  nanocrystals. Therefore, how to improve the luminescent emission intensity of UC ultrasmall nanocrystals or find novel host nanocrystals with higher luminescent intensity is currently being pursued.

RE fluorides including  $\text{ReF}_3$ ,  $\text{LiREF}_4$  and  $\text{NaREF}_4$  have been studied broadly for their low phonon energy and controllable morphology [8,17,23], while there are few reports on other promising host materials, for example,  $x\text{MF}_2\text{-yREF}_3$  nanocrystals. Recently, several uniform monodisperse barium lanthanide fluoride nanocrystals with ultrasmall size such as  $\text{BaYF}_5$  [24,25],  $\text{Ba}_2\text{YbF}_7$  [26],  $\text{BaGdF}_5$  [22,27],  $\text{Ba}_2\text{LaF}_7$  [28], which possess highly efficient UC emission, had been reported. Furthermore,  $\text{Ba}_2\text{LaF}_7$  nanocrystals with sub-10 nm present higher UC efficiency than  $\alpha\text{-NaYF}_4$  nanocrystals with the same size and the same doping conditions [28].  $\text{Sr}_2\text{YF}_7$ , a ternary compound of fluoride and to the best of our knowledge, has not been synthesized as monodisperse nanocrystals with intense UC emission. In this paper, we will report the solvothermal synthesis of  $\text{Sr}_2\text{YF}_7$  nanocrystals with the size of sub-10 nm. Intense upconversion emission can be observed in  $\text{Er}^{3+}/\text{Yb}^{3+}$  or  $\text{Tm}^{3+}/\text{Yb}^{3+}$  codoped  $\text{Sr}_2\text{YF}_7$  nanocrystals and near-white-color emission can be tuned by using  $\text{Er}^{3+}/\text{Tm}^{3+}/\text{Yb}^{3+}$  tri-doped  $\text{Sr}_2\text{YF}_7$  nanocrystals. Especially,  $\text{Sr}_2\text{YF}_7$  nanocrystals present similar upconversion efficiency with  $\text{Ba}_2\text{LaF}_7$  [28] and  $\text{Ba}_2\text{YbF}_7$  [26] nanocrystals under the same doping conditions and the same size. Compared with series of  $\text{NaLnF}_4$  nanocrystals,

\* Corresponding author.

E-mail address: [xcf@xtu.edu.cn](mailto:xcf@xtu.edu.cn) (C.-f. Xu).



**Fig. 1.** XRD patterns of the as-synthesized face-centered cubic structure nanocrystals: (a)  $\text{Sr}_2\text{YF}_7$  (the scattered “-” lines are the raw XRD data and the overlapping red lines are calculated pattern. The olive curves at the bottom are the difference between the raw XRD data and the calculated data); (b)  $\text{Sr}_2\text{YF}_7$ : Yb/Tm (20/0.2 mol%); (c)  $\text{Sr}_2\text{YF}_7$ : Yb/Er = 20/4 mol%.

$\text{Sr}_2\text{YF}_7$  nanocrystals with smaller size have potential applications in bioimaging.

## 2. Experimental

### 2.1. Synthesis of $\text{Sr}_2\text{YF}_7$ nanocrystals

All reagents were purchased from Sinapharm Chemical Reagent Co, Ltd. and without further purification, but the RE oxides purities are higher than 99.99%. RE oxides were dissolved in nitric acid at elevated temperature to form 0.5 M RE nitrate solution, and then  $\text{Tm}(\text{NO}_3)_3$  and  $\text{Er}(\text{NO}_3)_3$  solutions were diluted to 0.05 M.  $\text{Sr}_2\text{YF}_7$  nanocrystals were synthesized by the following solvothermal method, which was used to synthesize  $\text{Ba}_2\text{YF}_7$ ,  $\text{Ba}_2\text{YbF}_7$  and  $\text{BaGdF}_7$  nanocrystals in our previous reports [26–28]. In a typical synthesis protocol, 2 ml aqueous solution containing 0.6 g NaOH, 10 ml pentanol and 20 ml oleic acid were added into a beaker in turn under stirring to form transparent homogeneous solution. Next, 1.0 mmol  $\text{Sr}(\text{NO}_3)_2$  dissolved in 2 ml deionized water and 0.5 mmol  $\text{Y}(\text{NO}_3)_3$  aqueous solution were introduced into the former solution. At last, 4 mmol  $\text{NH}_4\text{F}$  dissolved in 2 ml deionized water was added in. After another 10 min agitation, the resulting homogeneous colloidal solution was transferred into a 50 ml stainless Teflon-lined autoclave and reacted at 220 °C for 24 h in a furnace. After reaction, the autoclave was moved out from furnace and cooled to room temperature naturally. The products deposited at the bottom of Teflon vessel were collected and washed with ethanol and water several times to remove other remnants, and then dried at 70 °C for 24 h or more time. With these protocols, a series of  $\text{Er}^{3+}/\text{Yb}^{3+}$ ,  $\text{Tm}^{3+}/\text{Yb}^{3+}$  codoped and  $\text{Er}^{3+}/\text{Tm}^{3+}/\text{Yb}^{3+}$  tri-doped  $\text{Sr}_2\text{YF}_7$  nanocrystals were synthesized.

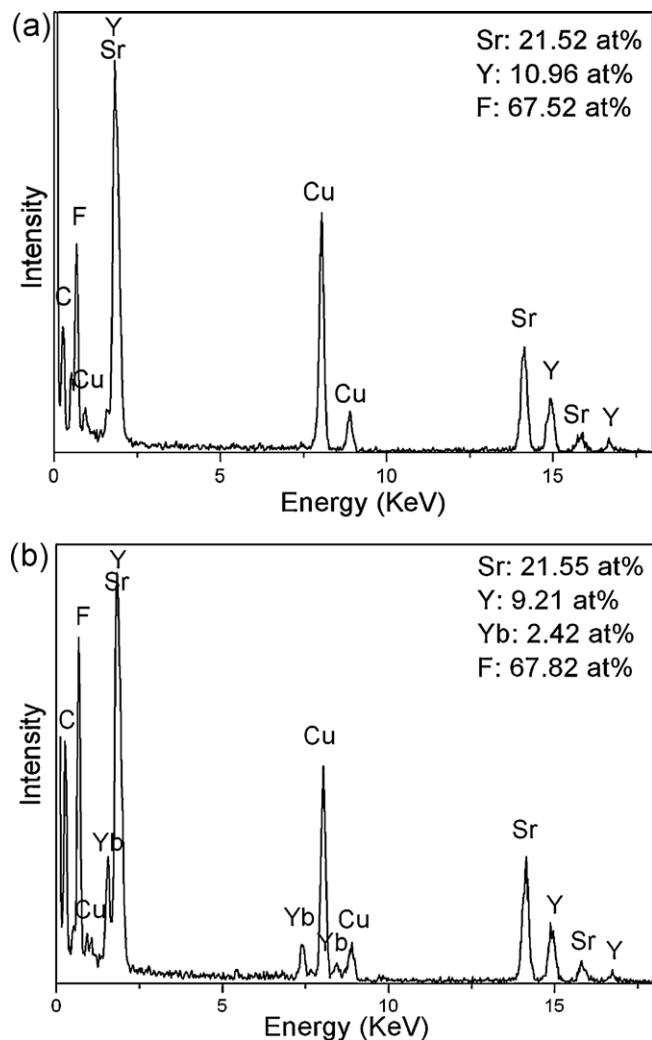
### 2.2. Characterization

The phase and structure were identified by a D/Max 8550 X-ray power diffraction (XRD) apparatus with  $\text{Cu K}\alpha$  radiation ( $\lambda = 1.5406$  nm) at 40 kV and 40 mA. Analysis of XRD data for WPF refinement was performed with MDI Jade 6.0 software. The size, shape and structure of the as-prepared nanocrystals were characterized by transmission electron microscopy (TEM, JEM-2100) at 200 kV equipped with an Oxford instrument energy dispersive X-ray spectroscopy (EDS) system. The samples can be redispersed in cyclohexane under ultrasonication to achieve a well-dispersed suspension, and then one drop of this suspension was dropped on a copper grid covered with hollow carbon film for TEM characterization. UC photoluminescent spectra were recorded by an R-500 fluorescence spectrophotometer under the excitation of an unfocused 980 nm laser. The photoluminescence of lanthanide doped  $\text{Sr}_2\text{YF}_7$  nanocrystals redispersed in cyclohexane was photographed by a digital camera (Nikon D4000). All of the measurements were performed at room temperature.

## 3. Results and discussion

### 3.1. Structure and shape of the as-synthesized nanocrystals

XRD and TEM assays are used to characterize the phase, structure and shape of as-synthesized nanocrystals. Fig. 1 shows



**Fig. 2.** Energy dispersive X-ray spectroscopy (EDS) analyses of (a)  $\text{Sr}_2\text{YF}_7$  and (b)  $\text{Sr}_2\text{YF}_7$ : Yb/Er = 20/4 mol% nanocrystals.

the typical XRD patterns of the as-synthesized (a)  $\text{Sr}_2\text{YF}_7$ , (b)  $\text{Sr}_2\text{YF}_7$ :Yb/Tm (20/0.2 mol%) and (c)  $\text{Sr}_2\text{YF}_7$ :Yb/Er (20/4 mol%) nanocrystals. Eight characteristic diffraction peaks appear at the positions of  $2\theta$  ( $d$ -value Å) = 26.95° (3.293), 31.24° (2.852), 44.81° (2.017), 53.12° (1.720), 55.69° (1.647), 65.30° (1.426), 72.03° (1.309) and 74.22° (1.276) on the XRD pattern of  $\text{Sr}_2\text{YF}_7$  (Fig. 1(a)), respectively. According to the equation  $r^* = 1/d^2$ , where  $r^*$  is the length of reciprocal vector and  $d$  is the lattice fringe of diffraction peak,  $r_1^* : r_2^* : r_3^* : r_4^* : r_5^* : r_6^* : r_7^* : r_8^*$  value of  $\text{Sr}_2\text{YF}_7$  is very close to 3:4:8:11:12:16:19:20, so the phase structure of the as-synthesized nanocrystals can be determined to be face-centered cubic structure.

EDS analysis was performed to measure the compositions of the undoped and  $\text{Yb}^{3+}/\text{Er}^{3+}$  (20/4 mol%) codoped  $\text{Sr}_2\text{YF}_7$  nanocrystals and the results are shown in Fig. 2. Besides the copper and the carbon come from the copper grid and oleate ligands capped on the surface of nanocrystals, the atom contents of Sr, Y and F for the undoped nanocrystals (shown in Fig. 2(a)) are 21.52, 10.96 and 67.52 at%, respectively, which are close to the formula of  $\text{Sr}_2\text{YF}_7$  when EDS measurement error was considered. Fig. 2(b) reveals the atom contents of Sr, Y, Yb and F for the  $\text{Yb}^{3+}/\text{Er}^{3+}$  (20/4 at%) codoped nanocrystals are 21.55, 9.21, 2.42 and 67.82 at%, respectively, which further confirms the formula of  $\text{Sr}_2\text{YF}_7$ . Moreover, the XRD data of the as-synthesized undoped nanocrystals were processed with Jade 6.0 software for WPF refinement. The calculated results and

the XRD data, as well as the difference between them, are given in Fig. 1(a). It can be seen that the calculated value are in good agreement with the XRD data with the difference of  $R = 10.28\%$ . Therefore, it is believable that the as-synthesized  $\text{Sr}_2\text{YF}_7$  nanocrystals are of face-centered cubic structure (space group:  $Fm\bar{3}m$ ) with the cell parameters  $a = 5.704 \text{ \AA}$ . The diffraction peaks of the  $\text{Yb}^{3+}/\text{Tm}^{3+}$  and  $\text{Yb}^{3+}/\text{Er}^{3+}$  codoped nanocrystals shift very little to the right because the radius of  $\text{Yb}^{3+}$  is smaller than that of  $\text{Y}^{3+}$ .

The widening of diffraction peaks confirms that the as-synthesized nanocrystals are of ultrasmall size. The average size of the as-synthesized nanocrystals can be estimated by Scherrer equation:  $D = K\lambda/\beta \cos \theta$ , where  $\lambda$  is the X-ray wavelength ( $0.15406 \text{ nm}$ ),  $\beta$  is the full-width at half-maximum,  $\theta$  is the Bragg angle, and  $K$  is a constant ( $0.89$ ). The average sizes, which are calculated with  $\beta$ -value of  $(1\ 1\ 1)$  peak, can be estimated to be  $8.6 \text{ nm}$  for the undoped  $\text{Sr}_2\text{YF}_7$ ,  $9.5 \text{ nm}$  for  $\text{Tm}^{3+}/\text{Yb}^{3+}$  codoped  $\text{Sr}_2\text{YF}_7$  ( $\text{Yb}/\text{Tm} = 20/0.2 \text{ mol}\%$ ) and  $8.9 \text{ nm}$  for  $\text{Er}^{3+}/\text{Yb}^{3+}$  codoped  $\text{Sr}_2\text{YF}_7$  ( $\text{Yb}/\text{Er} = 20/4 \text{ mol}\%$ ), respectively.

The cubic structure of the as-synthesized nanocrystals was further confirmed by TEM assay. Fig. 3 provides the low magnification TEM images, high resolution transmission electron microscopy (HRTEM) images and the electron diffraction pattern of the as-synthesized (a)  $\text{Sr}_2\text{YF}_7$  and (b)  $\text{Sr}_2\text{YF}_7$  ( $\text{Yb}/\text{Er} = 20/4 \text{ mol}\%$ ) nanocrystals. All of nanocrystals are highly uniform, well dispersed and self-assembled into two-dimensional (2D) array. HRTEM images reveal that the interplanar distances are  $2.88 \text{ \AA}$  corresponding to the  $(200)$  planes of  $\text{Sr}_2\text{YF}_7$  and  $3.28 \text{ \AA}$  corresponding to the  $(111)$  planes of  $\text{Sr}_2\text{YF}_7$  ( $\text{Yb}/\text{Er} = 20/4 \text{ mol}\%$ ), respectively. Obviously, high concentration doping of  $\text{Yb}^{3+}$  affects the size and shape of nanocrystals very little. The interplanar distances of  $\text{Sr}_2\text{YF}_7$  nanocrystals calculating from the inner eight SEAD rings (inset in Fig. 3(a) and only half shown) are  $3.30, 2.86, 2.02, 1.71, 1.65, 1.40, 1.32$  and  $1.28 \text{ \AA}$ , which can be assigned to  $\{111\}, \{200\}, \{220\}, \{311\}, \{222\}, \{400\}, \{331\}$  and  $\{420\}$  lattice planes of the face-centered cubic structure  $\text{Sr}_2\text{YF}_7$ , respectively.

### 3.2. Upconversion emission of the as-synthesized $\text{Sr}_2\text{YF}_7$ nanocrystals

Intense UC emission can be observed in  $\text{Ln}^{3+}$  ( $\text{Ln} = \text{Er}, \text{Tm}, \text{Yb}$ ) doped  $\text{Sr}_2\text{YF}_7$  nanocrystals by naked eyes, and the emission color can be tuned by definitely controllable doping. Fig. 4 provides UC spectra of  $\text{Sr}_2\text{YF}_7$  nanocrystals with different doping conditions under the excitation of an unfocused  $980 \text{ nm}$  laser with the spot size of  $8 \text{ mm}^2$ . Two emission bands (Fig. 4(a)), which centered at  $523/544$  and  $654 \text{ nm}$  and can be ascribed to  ${}^2\text{S}_{11/2}/{}^4\text{H}_{3/2} \rightarrow {}^4\text{I}_{15/2}$  and  ${}^4\text{F}_{9/2} \rightarrow {}^4\text{I}_{15/2}$  transitions of  $\text{Er}^{3+}$ , lead to yellow color emission (as shown in Fig. 4(a)). The integrated intensity ratio of red to green (R/G) varied with the  $\text{Er}^{3+}$  doping concentration. In addition, when the  $\text{Er}^{3+}$  doping concentration decreased from  $10 \text{ mol}\%$  to  $4 \text{ mol}\%$ , not only the emission intensity decreased, but also R/G varied from  $2.003$  to  $0.884$ . For comparison, the upconversion spectra of  $\text{Ba}_2\text{LaF}_7$  ( $\text{Yb}/\text{Er} = 20/4 \text{ mol}\%$ ) nanocrystals [28] with similar size were shown in Fig. 4(a)(iii). Intensity UC from the ultraviolet to the near-infrared was obtained in  $\text{Tm}^{3+}/\text{Yb}^{3+}$  doped  $\text{Sr}_2\text{YF}_7$  nanocrystals. Fig. 4(b) shows UC spectra of  $\text{Sr}_2\text{YF}_7:\text{Yb}/\text{Tm}$  ( $20/0.2 \text{ mol}\%$ ) which consist of four emission bands. The ultraviolet emission can be ascribed to  ${}^1\text{I}_6 \rightarrow {}^3\text{F}_4/{}^1\text{D}_2 \rightarrow {}^3\text{H}_6$  transitions of  $\text{Tm}^{3+}$  (centered at  $347$  and  $361 \text{ nm}$ ). The intense blue emission is originated from  ${}^1\text{D}_2 \rightarrow {}^3\text{F}_4$  and  ${}^1\text{G}_4 \rightarrow {}^3\text{H}_6$  transitions of  $\text{Tm}^{3+}$  (centered at  $452$  and  $478 \text{ nm}$ ). The transitions of  ${}^1\text{G}_4 \rightarrow {}^3\text{F}_4$ ,  ${}^3\text{F}_3 \rightarrow {}^3\text{H}_6$  and  ${}^3\text{F}_2 \rightarrow {}^3\text{H}_6$  (centered at  $649, 700$  and  $724 \text{ nm}$ , respectively) lead to weakly visible red emission, while the  ${}^3\text{H}_4 \rightarrow {}^3\text{H}_6$  transition of  $\text{Tm}^{3+}$  (centered at  $773$  and  $803 \text{ nm}$ ) makes the dominated infrared emission. The near-white-color emission can be easily tailored by  $\text{Er}^{3+}/\text{Tm}^{3+}/\text{Yb}^{3+}$

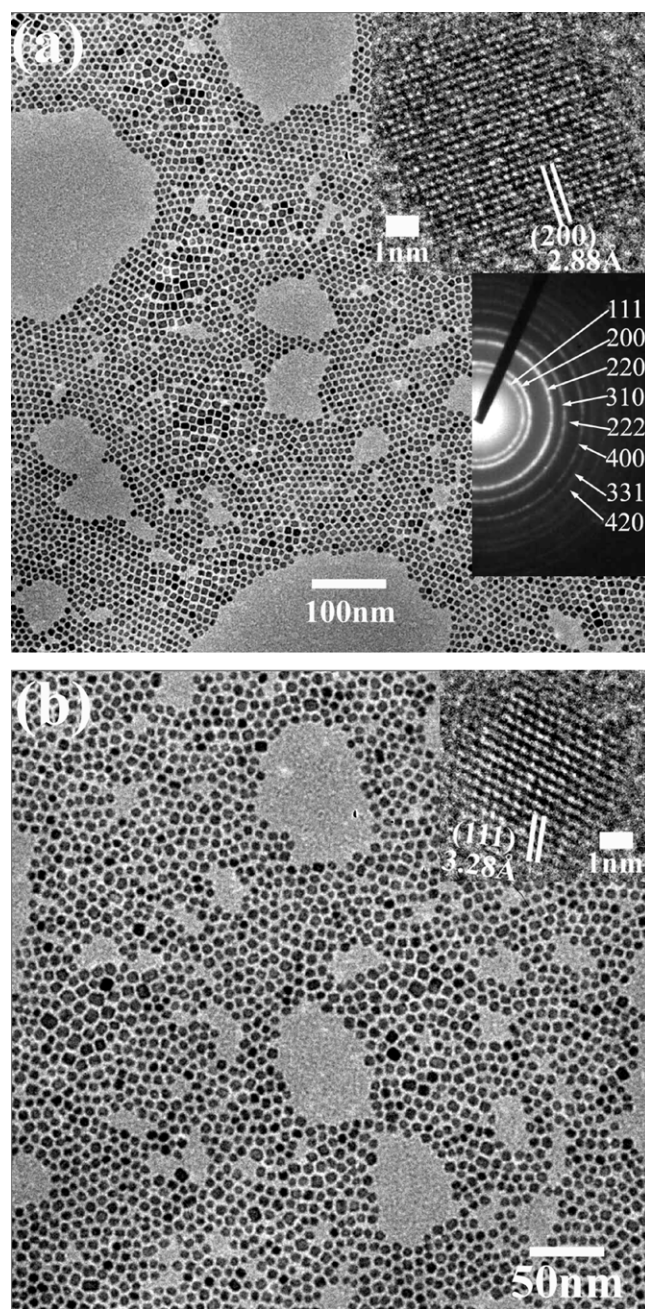
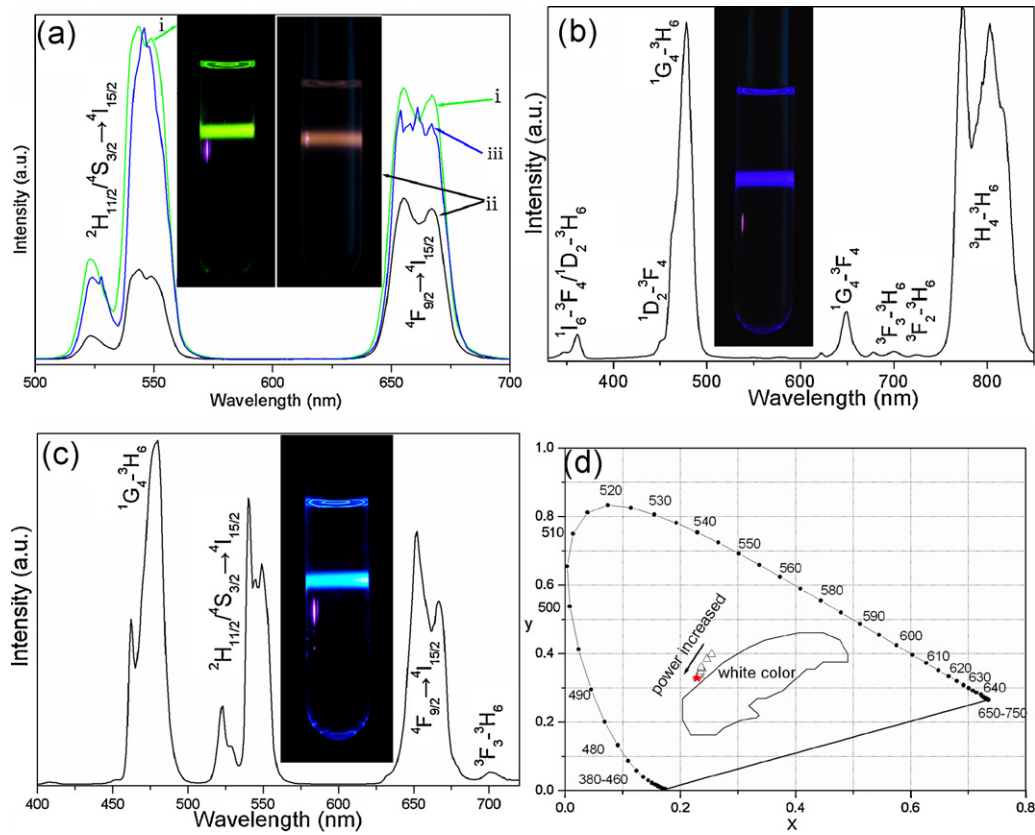


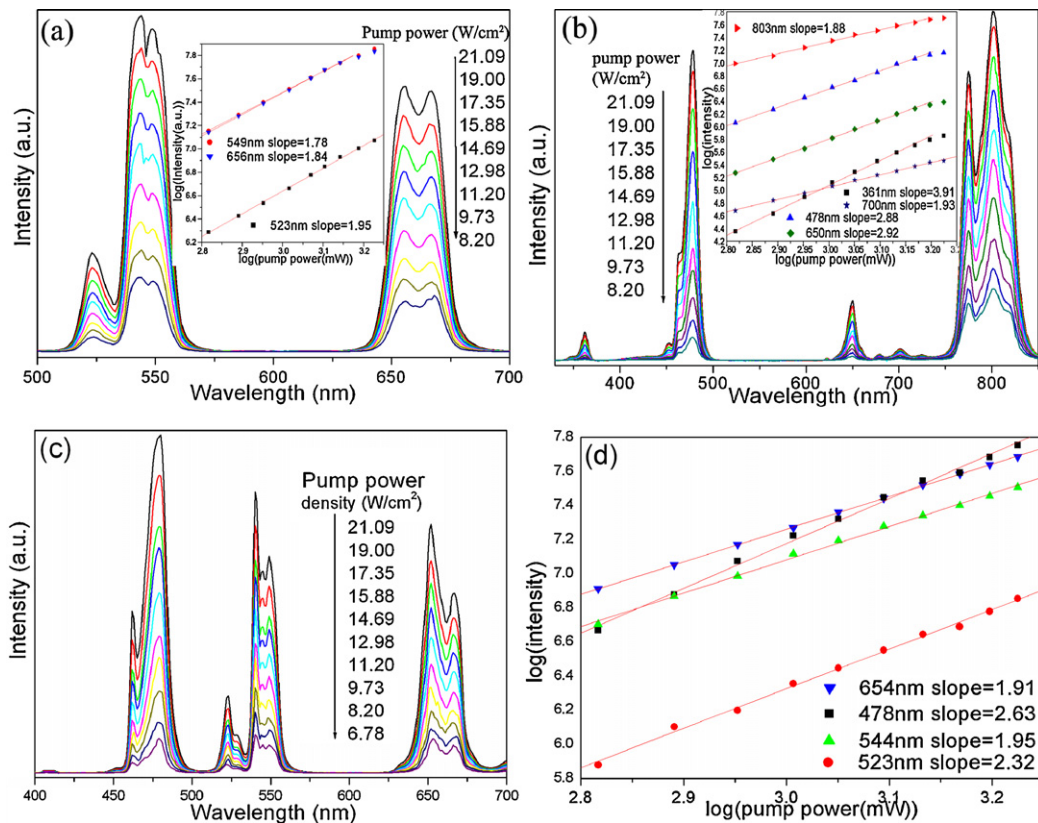
Fig. 3. TEM images of (a) undoped  $\text{Sr}_2\text{YF}_7$  and (b)  $\text{Sr}_2\text{YF}_7$  ( $\text{Yb}/\text{Er} = 20/4 \text{ mol}\%$ ) nanocrystals with the corresponding HRTEM images inset in the upper right.

tri-doped  $\text{Sr}_2\text{YF}_7$  nanocrystals (Fig. 4(c)) [25].  $\text{Sr}_2\text{YF}_7$  nanocrystals can be easily redispersed in cyclohexane to form transparent colloidal solution, which can realize intense UC emission under the excitation of the  $980 \text{ nm}$  laser. Insets in Fig. 4(a)–(c) shows the digital photographs taken from the colloidal solution under the excitation of a  $980 \text{ nm}$  laser ( $40 \text{ W}/\text{cm}^2$ ), and intense UC can be seen from the photographs.

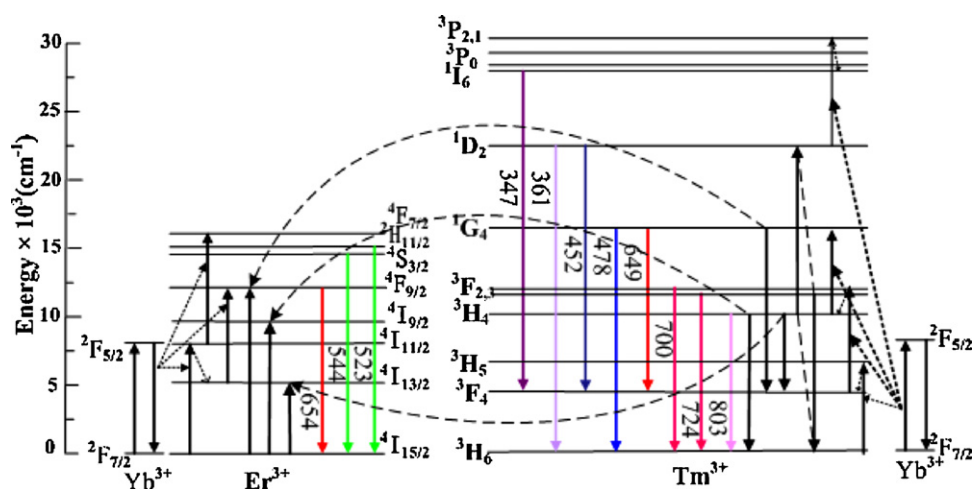
To clarify UC emission mechanism of  $\text{Er}^{3+}/\text{Yb}^{3+}$  and  $\text{Tm}^{3+}/\text{Yb}^{3+}$  codoped  $\text{Sr}_2\text{YF}_7$ , the dependence of emission intensity  $I_{\text{up}}$  from  $\text{Sr}_2\text{YF}_7$  nanocrystals on the infrared excitation power  $P$  were measured and shown in Fig. 5. The relationship between the intensity of UC luminescence  $I_{\text{up}}$  and the pump power  $P$  can be written as:  $I_{\text{up}} \propto P^N$ , where  $N$  is the order of multi-photon transitions, the number of infrared quanta absorbed per photon emission. From the inset in Fig. 5(a), we can see that the values of  $N$  for sample



**Fig. 4.** Upconversion spectra of Sr<sub>2</sub>YF<sub>7</sub> nanocrystals with different doping conditions under the excitation of the 980 nm laser and their corresponding digital photographs: (a) (i) Sr<sub>2</sub>YF<sub>7</sub> (Yb/Er = 20/10 mol%), (ii) Sr<sub>2</sub>YF<sub>7</sub> (Yb/Er = 20/4 mol%) and (iii) Ba<sub>2</sub>LaF<sub>7</sub> (Yb/Er = 20/4 mol%); (b) Sr<sub>2</sub>YF<sub>7</sub>:Yb/Tm (20/0.2 mol%); (c) Sr<sub>2</sub>YF<sub>7</sub>:Yb/Er/Tm (20/0.3/0.4 mol%) with its (d) CIE diagram (the spectra of c is marked with “\*”) under different excitation power.



**Fig. 5.** Upconversion spectra of (a) Sr<sub>2</sub>YF<sub>7</sub> (Yb/Er = 20/10 mol%), (b) Sr<sub>2</sub>YF<sub>7</sub>:Yb/Tm (20/0.2 mol%) and (c) Sr<sub>2</sub>YF<sub>7</sub>:Yb/Er/Tm (20/0.3/0.4 mol%) under the excitation of an unfocused 980 nm laser with different pump power densities. The insets in each image and (d) are the corresponding double-logarithmic plots of the upconversion emission intensity versus the pump power densities.



**Fig. 6.** Schemes of energy transfer processes of  $\text{Yb}^{3+} \rightarrow \text{Er}^{3+}$ ,  $\text{Yb}^{3+} \rightarrow \text{Tm}^{3+}$  and  $\text{Tm}^{3+} \rightarrow \text{Er}^{3+}$ , and the proposed upconversion mechanisms of the tailored-color emission of  $\text{Er}^{3+}/\text{Yb}^{3+}$ ,  $\text{Tm}^{3+}/\text{Yb}^{3+}$  codoped and  $\text{Er}^{3+}/\text{Tm}^{3+}/\text{Yb}^{3+}$  tri-doped  $\text{Sr}_2\text{YF}_7$  nanocrystals under the excitation of an unfocused 980 nm laser.

$\text{Sr}_2\text{YF}_7$  ( $\text{Yb}/\text{Er}=20/10$  mol%) are 1.95, 1.78 and 1.84 at 523, 549 and 656 nm emissions, indicating 2 photons needed to realize the transitions of  $^2\text{S}_{11/2}/^4\text{H}_{3/2} \rightarrow ^4\text{I}_{15/2}$  and  $^4\text{F}_{9/2} \rightarrow ^4\text{I}_{15/2}$ . The inset in Fig. 5(b) indicates that the slope values of the linear fits with the experimental data are 3.91, 2.88, 2.92, 1.93 and 1.88 for the five observed UC emission bands. These results reveal that 4, 3, 3, 2 and 2 photons are necessary to realize UC emissions centered at 361, 478, 650, 700 and 803 nm, which are consistent with other previous reports in  $\text{Tm}^{3+}/\text{Yb}^{3+}$  codoped  $\text{BaGdF}_5$ ,  $\text{BaYF}_5$  and  $\text{Tm}^{3+}$  doped  $\text{Ba}_2\text{YbF}_7$  nanocrystals [26–29]. In addition, the slope values for each emission bands tend to decrease with the increase of pump power intensity, which may be originated from the saturation effect [30–32]. As the pump power increases to certain intensity, the absorption of  $\text{Yb}^{3+}$  gets saturated. Another reason may be related to the excited states of  $\text{Er}^{3+}$  ( $^4\text{I}_{11/2}$ ) and  $\text{Tm}^{3+}$  ( $^3\text{F}_4$ ) owing to the efficient energy transfer (ET) processes from  $\text{Yb}^{3+}$  to  $\text{Er}^{3+}$  or  $\text{Tm}^{3+}$ , which result in the following ET processes populating the upper excited states so efficient that it exceeds the spontaneous decay rate to the ground state [31,32].

Auzel had extensively discussed UC mechanisms of the 4f electron levels of rare earth ions in different matrices [1]. The energy level diagrams of  $\text{Er}^{3+}$ ,  $\text{Tm}^{3+}$  and  $\text{Yb}^{3+}$  are shown in Fig. 6 which has indicated the possible UC mechanisms to produce the tailored-color UC emission. For  $\text{Yb}^{3+}$  has much bigger absorption section than  $\text{Er}^{3+}$  or  $\text{Tm}^{3+}$  under the excitation of the 980 nm laser, high efficient UC processes of  $\text{Er}^{3+}/\text{Yb}^{3+}$  or  $\text{Tm}^{3+}/\text{Yb}^{3+}$  codoped  $\text{Sr}_2\text{YF}_7$  nanocrystals are mainly originated from the sensitization of  $\text{Yb}^{3+}$  ions [33]. As shown in Fig. 6,  $\text{Er}^{3+}$  is pumped to  $^4\text{I}_{11/2}$  level by ET from  $\text{Yb}^{3+}$ , and then partly nonradiatively relaxes to next lower level  $^4\text{I}_{13/2}$ . The successive ET from  $\text{Yb}^{3+}$  forms the populations of  $^4\text{F}_{7/2}$  and  $^4\text{F}_{9/2}$  levels ( $\text{Er}^{3+}$ ). The electrons populated on  $^4\text{F}_{7/2}$  level nonradiatively relax to next lower levels  $^2\text{H}_{11/2}$  and  $^4\text{S}_{3/2}$ . So the green and red emission bands are originated from the electron transitions from the  $^2\text{H}_{11/2}$ ,  $^4\text{S}_{3/2}$  and  $^4\text{F}_{9/2}$  levels to the ground state. The possible UC mechanisms of  $\text{Tm}^{3+}/\text{Yb}^{3+}$  codoped  $\text{Sr}_2\text{YF}_7$  nanocrystals might be realized via the multiple phonon-assisted energy transfer processes from  $\text{Yb}^{3+}$  ions to  $\text{Tm}^{3+}$  ions as follows:  $^2\text{F}_{5/2}(\text{Yb}^{3+}) + ^3\text{H}_6(\text{Tm}^{3+}) \rightarrow ^3\text{H}_5(\text{Tm}^{3+}) + ^2\text{F}_{7/2}(\text{Yb}^{3+}) \sim ^3\text{F}_4(\text{Tm}^{3+}) + \text{multiphonon relaxation}$ ,  $^2\text{F}_{5/2}(\text{Yb}^{3+}) + ^3\text{F}_4(\text{Tm}^{3+}) \rightarrow ^3\text{F}_2/^3\text{F}_3(\text{Tm}^{3+}) + ^2\text{F}_{7/2}(\text{Yb}^{3+}) \sim ^3\text{H}_4(\text{Tm}^{3+}) + \text{multiphonon relaxation}$ ,  $^2\text{F}_{5/2}(\text{Yb}^{3+}) + ^3\text{H}_4(\text{Tm}^{3+}) \rightarrow ^1\text{G}_4(\text{Tm}^{3+}) + ^2\text{F}_{7/2}(\text{Yb}^{3+})$ ,  $^3\text{H}_4(\text{Tm}^{3+}) + ^3\text{F}_3(\text{Tm}^{3+}) \rightarrow ^1\text{D}_2(\text{Tm}^{3+}) + ^3\text{H}_6(\text{Tm}^{3+})$ ,  $^2\text{F}_{5/2}(\text{Yb}^{3+}) + ^1\text{D}_2(\text{Tm}^{3+}) \rightarrow ^3\text{P}_{1,2}(\text{Tm}^{3+}) + ^2\text{F}_{7/2}(\text{Yb}^{3+}) \sim ^1\text{I}_6(\text{Tm}^{3+}) + \text{multiphonon relaxation}$  [15]. Then, the transitions from

the excited state levels to lower and ground states lead the emissions of  $\text{Tm}^{3+}$  centered at 347, 361, 452, 478, 649, 700, 724 and 803 nm, respectively.

The near-white-color emission of  $\text{Sr}_2\text{YF}_7$  ( $\text{Yb}/\text{Er}/\text{Tm}=20/0.3/0.4$  mol%) nanocrystals is shown in Fig. 5(c) and (d) and its CIE diagrams under different excitation power are presented in Fig. 4(d) (marked with  $\Delta$ ). The slope values of the fitting lines are 2.63, 2.32, 1.95 and 1.91, which indicate 3, 3, 2 and 2 photons are needed to realize the emission centered at 478, 523, 544 and 654 nm, respectively. It is noticed that the emission of  $\text{Er}^{3+}/\text{Tm}^{3+}/\text{Yb}^{3+}$  tri-doped  $\text{Sr}_2\text{YF}_7$  nanocrystals centered at 523 nm, which is different from that of  $\text{Er}^{3+}/\text{Yb}^{3+}$  codoped  $\text{Sr}_2\text{YF}_7$  nanocrystals. For tri-doped nanocrystals, it is three-photon process to populate the  $^2\text{H}_{11/2}/^4\text{S}_{3/2}$  [34,35], but two-photon process for  $\text{Er}^{3+}/\text{Yb}^{3+}$  codoped nanocrystals. In our experiment, the mechanism to realize the 523 nm emission that we suppose is different from other's reports [33,34]. In addition, the ultraviolet emission is hardly detected in tri-doped  $\text{Sr}_2\text{YF}_7$  nanocrystals, which means that ET from  $\text{Tm}^{3+}$  to  $\text{Er}^{3+}$  occurs. As shown in Fig. 6, three processes can transfer the energy from  $\text{Tm}^{3+}$  to  $\text{Er}^{3+}$  as following:  $^3\text{H}_4(\text{Tm}^{3+}) + ^4\text{H}_{15/2}(\text{Er}^{3+}) \rightarrow ^3\text{H}_6(\text{Tm}^{3+}) + ^4\text{F}_{9/2}(\text{Er}^{3+})$ ,  $^1\text{G}_4(\text{Tm}^{3+}) + ^4\text{H}_{15/2}(\text{Er}^{3+}) \rightarrow ^3\text{F}_4(\text{Tm}^{3+}) + ^4\text{I}_{9/2}(\text{Er}^{3+})$ ,  $^3\text{H}_4(\text{Tm}^{3+}) + ^4\text{H}_{15/2}(\text{Er}^{3+}) \rightarrow ^3\text{F}_4(\text{Tm}^{3+}) + ^4\text{H}_{13/2}(\text{Er}^{3+})$ . Multi-photons are needed to pump  $\text{Tm}^{3+}$  to higher energy levels and at least one photon is needed to pump  $\text{Er}^{3+}$  from the excited state to  $^4\text{F}_{7/2}$  or  $^4\text{H}_{9/2}$ , so average three photons are needed to realize the 523 nm emission of  $\text{Er}^{3+}$ .

#### 4. Conclusion

Monodisperse ultrasmall  $\text{Sr}_2\text{YF}_7$  nanocrystals with the size of sub-10 nm were synthesized via solvothermal method by using oleate as capping ligands. XRD and TEM assays reveal that the as-synthesized  $\text{Sr}_2\text{YF}_7$  nanocrystals are of face-centered cubic structure with the cell parameter of 5.704 Å. Intense UC can be observed in  $\text{Er}^{3+}/\text{Yb}^{3+}$  and  $\text{Tm}^{3+}/\text{Yb}^{3+}$  codoped  $\text{Sr}_2\text{YF}_7$  nanocrystals and near-white-color emission can be obtained in definitely controlled  $\text{Er}^{3+}/\text{Tm}^{3+}/\text{Yb}^{3+}$  tri-doped  $\text{Sr}_2\text{YF}_7$  nanocrystals. The main mechanism of UC emission is ET. ET from  $\text{Tm}^{3+}$  to  $\text{Er}^{3+}$  leads to the decrease of ultraviolet and blue emission intensity of  $\text{Tm}^{3+}$  and the increase of green emission intensity of  $\text{Er}^{3+}$ , which renders average three-photon process to realize the 523 nm emission and ascribed to the white UC emission.

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